10242-34

**COMPLETE IF KNOWN** 

09/646,110 /

March 25, 1999

Dyck, Lillian E.

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR** 

**DESIGN** PATENT APPLICATION

(37 CFR 1.63)

□ Declaration

□ Declaration

**Attorney Docket Number** 

First Named Inventor

**Application Number** 

Filing Date

Submitted OR	Submitted after Initial	Filling Date	viaicii 25, 1999									
With Initial Filing	Filing (surcharge (37 CFR 1.16 (e))	Group Art Unit										
	required)	Examiner Name										
As a below named inv	As a below named inventor, I hereby declare that:											
My residence, post office address, and citizenship are as stated below next to my name.												
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:												
Aliphatic Amino Carboxylic and Amino Phosphonic Acids, Amino Nitriles and Amino Tetrazoles as Cellular Rescue Agents												
the specification of which (Title of the Invention)												
☐ is attached hereto												
OR												
was filed on (MM/DD	03/25/99	as United States Ap	plication Number o	r PCT International								
Application Number	09/646,110 🗸 and	was amended on (MM/DD/Y	vvv) [	(if a	pplicable).							
I hereby state that I have revie specifically referred to above.	ewed and understand the conte	nts of the above identified spe	ecification, including	the claims as amer	ıded							
applications, material informat	close information which is mate tion which became available be continuation-in-part application.	tween the filing date of the pri			on-in-part							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.												
Prior Foreign Application Number(s)	Country	Foreign Filing Date	Priority Not Claimed	Certified Copy A	ttached?							
140111061(2)	Country	(MM/DD/YYYY) Country	NOT Claimed	YES	NO							

[Page 1 of 2]

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

# **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to:	Customer Number or Bar Code Label	(10	59	OR		Correspo	ndance address belo	
Name								
Address			·			···		
City		State			1		ZIP	
Country			Telepho	one	Fax			
I hereby declare that all statements in believed to be true; and further that it punishable by fine or imprisonment, application or any patent issued there.	these statements were made w or both, under 18 U.S.C. 1001	ith the knowle	dge that v	villful false si	atemen	ts and th	e like so made are	
NAME OF SOLE OR FIRST	INVENTOR:	A pe	ition has	s been file	d for t	his uns	signed inventor	
(first and middle [if any])	llian E.	Family Na or Surnam						
Inventor's Signature	in E. By	h.					Date Sept 2	
Saskatoon CAX		Saskato	newan	Can	Canada Cana		dian /	
Residence: City		State	Cou	Country Citizenship				
1140 Temperance Street  Mailing Address								
Saskatoon		Saskatchev	van	S7N		Canada		
City		State		0N8 Zip	ļ	Country		
NAME OF SECOND INVEN	TOR:	A pet	ition has	s been file	d for t	his uns	signed inventor	
Given Name  (first and middle [if any])	ruce A.			Family N or Surna		Davis	-	
Inventor's Signature	Nous			·			21 Sept Date 2001	
Saskatoon	· ·	Saskato	newan	Can	ada	Cana	dian 🖊	
Residence: City CAX		State	State Co			Country Citizenship		
819 Coppermine Crescent								
Mailing Address				<del></del>	1			
Saskatoon		Saskatc		S7K 4K9 Canada				
City		State		Zip	1.	Country		

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **DECLARATION**

## **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page 1 of 2

Name of Additiona	al Joint Invento	ventor, if any: A petition has been filed for this unsigned inventor									
Given	Name (first an	d middle [if	any])		Family Name or Surname						
Ya-Dong		<u> </u>		Liu	Liu						
Inventor's Signature	y,	in					Date	Oct. 1	,2001		
Residence: City	Freemont	State	ÇA	Country	U.S.A.		Citizenship	Canadian	, 2001		
Mailing Address	C323-4355	Grimmer	Road								
Mailing Address											
City Freemont		State	CA	ZIP 94	538	Cou	U.S.A.				
Name of Additiona	A petition ha	s been fil	ed for	this unsigned in	ventor						
Given Name (first and middle [if any])							Family Nan	ne or Surname	)		
David A.						Durden					
Inventor's Signature											
Residence: City	Calgary	State	Alberta	Alberta Country Ca			Citizenship	Canadian			
Mailing Address	184 Cornwa	llis Drive, M	1 W.								
Mailing Address											
City	Calgary	State_	Alberta	T2 Zip <sup>1U</sup>		Cou	Canada untry				
Name of Additiona	I Joint Invento	r, if any:		A petition has	s been file	ed for	this unsigned in	ventor			
Given	Name (first and	middle [if	any])				Family Nan	ne or Surname			
Alan A.				Boulton							
Inventor's Signature							Date				
Residence: City	Saskatoon	State	Saskatchewan	Country	Canad	la	Citizenship	Canadian			
Mailing Address	1905 Spadir	a Crescen	t East								
Mailing Address											
	Saskatoon		Saskatchewan		\$7K		Саг	ada			
City	at. This faces is	State	to take 21 minute	Zip	0C9	1 0	ountry		of the individual case. Any		

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## **DECLARATION**

#### **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page <u>2</u> of <u>2</u>

Name of Additional Joint Inventor,	if any:	☐ A petition has been filed for this unsigned inventor							
Given Name (first and r	middle [if any])		Family Name or Surname						
1. Alick			Paterson (decease	ed)					
Inventor's Signature									
Brenda Kennedy Senier Trust Office Residence: 717 idylwyld Ci Saskatoon, Sasl Canada , S71 Citizenship. Canadia	r. 32 Katchewan 56 1081 Co	. Rogers : Counsellor Rossmo Road Hoon, Saskatch Lda STN 20 Lnadian		Date					
Saskatoon Residence: City (deceased)	Saskatchewan (deceased)		Canada (decease	ed)	Canadian (deceased) Citizenship				
Mailing Address 820-12 Street East (deceased)									
Mailing Address The Canada Trust Company: 170 2 <sup>nd</sup> Ave. S., Saskatoon, Saskatchewan S7K 1K5 Canada (Legal Representative)									
City Saskatoon (deceased)	Saskatchewan (deceased)	ZI	S7N 0H7 (deceased)		Canada (deceased)				
Name of Additional Joint Inventor	, if any:	] A t	petition has been file	ed for ti	his unsigned inventor				
Given Name (first and r	niddle [if any])	-			Family Name or Surname				
Inventor's Signature					Date				
Residence: City	State	C	ountry		Citizenship				
Mailing Address									
Mailing Address									
City	State	Zi	D	Cou	intry				
Name of Additional Joint Inventor					his unsigned inventor				
Given Name (first and r	middle (if any))				Family Name or Surname				
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Inventor's Signature					Date				
Residence: City	State	C	ountry		Citizenship				
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City	State		Zip		Country				
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DECLARATION	FOR UTILITY OR	Attorney Docket Num First Named Inventor	Duels Lillian E				
	SIGN PPLICATION	COMPLETE IF KNOWN					
(37 CFR 1.63)		Application Number	09/646,110				
☐Declaration Submitted OR	☑Declaration Submitted after Initial	Filing Date	March 25, 1999				
	Filing (surcharge (37 CFR 1.16 (e))	Group Art Unit					
	required)	Examiner Name					

As a below named inv	entor, I hereby declare tha	at:									
My residence, post office address, and citizenship are as stated below next to my name.											
I believe I am the original, f are listed below) of the sub	irst and sole inventor (if only on ject matter which is claimed and	e name is listed below) or an	original, first and jo	oint inventor (if plur	al names						
Aliphatic Amino C	are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  Aliphatic Amino Carboxylic and Amino Phosphonic Acids, Amino Nitriles and Amino										
Tetrazoles as Cell	lular Rescue Agents										
" and if a similar of this big	(T)										
the specification of which  is attached hereto	(Tille of th	ne Invention)									
OR											
was filed on (MM/DD/YYYY) 03/25/99 as United States Application Number or PCT International											
Application Number 09/646,110 and was amended on (MM/DD/YYYY) (if applicable).											
I hereby state that I have review specifically referred to above.	ewed and understand the content	nts of the above identified spe	ecification, including	the claims as am	ended						
applications, material informat	close information which is mater tion which became available bet continuation-in-part application.	tween the filing date of the pri	in 37 CFR 1.56, ind or application and t	cluding for continu- the national or PC	ation-in-part T						
breeder's rights certificate(s), States of America, listed below	benefits under 35 U.S.C. 119(a or 365(a) of any PCT internati w and have also identified belor or of any PCT international ap	ional application which desig w, by checking the box any for	nated at least one preign application(s	country other tha	n the United						
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy	Attached?						
		(		YES	NO						
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			U								
Additional foreign applicat	tion numbers are listed on a sup	plemental priority data sheet	PTO/SB/02B attac	hed hereto:							

[Page 1 of 2]

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## **DECLARATION** — Utility or Design Patent Application

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Name								
Address								
City	٠	State				_		ZIP
Country			Telepho	one		Fax	<u></u> !	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST INVENTOR:		A pe	tition has	s be	en filed	for t	his uns	igned inventor
Given Name Lillian E. (first and middle [if any])					nily Na Surnam		Dyck	
Inventor's Signature								Date
Saskatoon		Saskato	hewan		Canad	da	Canad	dian
Residence: City		State			Coun	try	Citize	nship
1140 Temperance Street								
Mailing Address								
Saskatoon	s	Saskatchewan			S7N Canada			
City	s	tate			Zip	Country		
NAME OF SECOND INVENTOR:		] A pet	ition has	s bee	en filed	for t	nis uns	igned inventor
Given Name Bruce A. (first and middle [if any])					nily Nai Surnam		Davis	
Inventor's Signature								Date
Saskatoon		Saskatci	newan		Canac	da	Canad	lian
Residence: City		State			Country Citiz			nship
819 Coppermine Crescent								
Mailing Address								
Saskatoon		Saskatci	newan		S7K 4K9	_	anada	
City		State	-CTT GIT		4K9 Canada  Zip Country			
Additional inventors are being named on the 2 sup	plementa		Inventor(s	s) she				hed hereto.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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# DECLARATION

# ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 2

	Name of Additional Joint Invento	or, if any:		A petition has been filed for this unsigned inventor						
	Given Name (first and	d middle [if	any])	Family Name or Surname						
	Ya-Dong			Liu						
	Inventor's Signature						Date			
	Residence: City Freemont	State	CA	Country	U.S.A.		Canadian Citizenship			
	Mailing Address C323-4355	5 Grimmer	Road							
	Mailing Address									
	City Freemont State CA ZIP 94538 Cou						U.S.A.			
	Name of Additional Joint Invento	or, if any:	□ A	petition h	as been file	ed for 1	this unsigned inventor			
	Given Name (first and	any])	Family Name or Surname							
4-00	David A			Durden						
	Inventor's Signature	Len				Sept 20, 2001				
	Residence: City Calgary	CAX State	Alberta	Country	Canada		Citizenship Canadian			
	Mailing Address 184.20rnwa	illis Drive. R	T.w. 527	20 1	15+	Ν	W			
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5-00	Given Name (first and	l middle [if a	any])	<b>_</b>			Family Name or Surname			
	Alan A.	/	<u> </u>	Boulto	n					
	Inventor's Signature	$\mathcal{N}^{\mathcal{V}}$	$\left( \begin{array}{c} - \end{array} \right)$				Date Seff 21 2ml			
	Residence: City Saskatoon	CAX	Saskatchewan	Country	Canad	a d	Canadian			
	Mailing Address 1905 Spadin	na Crescen	t East							
	Mailing Address									
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	City	State		Zip		Co	untry			

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## **DECLARATION**

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

Name of Additional Joint Inventor,	if any:	☐ A petition has been filed for this unsigned inventor							
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I. Alick			Paterson (deceased	d)					
Inventor's Signature									
Brenda Kennedy Senier Trust Office Residence: 717 Idylwyld Cr Saskatoon, Sast Canada , Sth Citizenship. Canadian	Katchewan Sas Kotchewan Sas LOBI Co	5 k 5 ka	Regers Lounsellor Rossmo Road Stoon, Saskatch Lda STN 2U		O Date				
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Mailing Address 820-12 Street East (deceased)									
Mailing Address The Canada Trust Company: 170 2 <sup>nd</sup> Ave. S., Saskatoon, Saskatchewan S7K 1K5 Canada (Legal Representative)									
City Saskatoon (deceased)				Cou	Canada (deceased)				
Name of Additional Joint Inventor,	if any:	] A p	petition has been file	d for t	his unsigned inventor				
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Name of Additional Joint Inventor,					this unsigned inventor				
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Inventor's Signature					Date				
Residence: City	State	c	ountry		Citizenship				
Mailing Address									
Mailing Address									
City	State		Zip		Country				

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**COMPLETE IF KNOWN** 

March 25, 1999

09/646,110 -

Dyck, Lillian E.

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**DECLARATION FOR UTILITY OR** 

**DESIGN** PATENT APPLICATION

(37 CFR 1.63)

□ Declaration

Submitted after Initial

□ Declaration

Submitted OR

Attorn y Docket Number

First Named Inventor

**Application Number** 

Filing Date

With Initial Filing	Filing (surcharge (37 CFR 1.16 (e))	Group Art Unit									
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	required)	Examiner Name									
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As a below named in	As a below named inventor, I hereby declare that:										
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My residence, post office address, and citizenship are as stated below next to my name.											
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:											
Aliphatic Amino Carboxylic and Amino Phosphonic Acids, Amino Nitriles and Amino Tetrazoles as Cellular Rescue Agents											
the specification of which (Title of the Invention)											
is attached hereto											
OR											
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Application Number	09/646,110 and	 I was amended on (MM/DD/Y	YYY) [	(	t f applicable).						
I hereby state that I have rev specifically referred to above	riewed and understand the conte	ents of the above identified spe	ecification, including	g the claims as an	nended						
applications, material inform	sclose information which is mate ation which became available be e continuation-in-part application	tween the filing date of the pri	in 37 CFR 1.56, ind ior application and t	cluding for continu the national or PC	ation-in-part T						
breeder's rights certificate(s States of America, listed bel	I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is										
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy	Attached?						
Number(s)	Country	(MM/DD/1111) Country	Not Claimed	YES	NO						
Additional foreign applic	ation numbers are listed on a su	pplemental priority data sheet	PTO/SB/02B attac	hed hereto.							

[Page 1 of 2]

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#### **DECLARATION** — Utility or Design Patent Application Direct all correspondence to: Customer Number 1059 Correspondance address below OR or Bar Code Label Name **Address** ZIP City State Country Telephone Fax I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor **Family Name** Dyck Given Name Lillian E. or Surname (first and middle [if any]) Inventor's Date Signature Saskatoon Saskatchewan Canada Canadian Residence: City State Country Citizenship 1140 Temperance Street Mailing Address S7N Saskatoon Saskatchewan Canada 8N0 City State Country Zip A petition has been filed for this unsigned inventor NAME OF SECOND INVENTOR: Given Name Bruce A. **Family Name** Davis (first and middle [if any]) or Surname Inventor's Date Signature Saskatchewan Canada Canadian Saskatoon Residence: City State Country Citizenship 819 Coppermine Crescent Mailing Address

Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Saskatchewan

State

Saskatoon

City

S7K

4K9

Zip

Canada

Country

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **DECLARATION**

#### **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page <u>1</u> of <u>2</u>

Name of Additional Jo	Joint Inventor, if any: A petition has been filed for this unsigned inventor								
Given Nan	ne (first and	middle [if	any])		Family Name or Surname				
Ya-Dong				Liu	Liu				
Inventor's Signature						Date			
Residence: City F	Freemont	State	CA	U.S.A Country		Citizenship	Canadian		
Mailing Address	C323-43555	Grimmer	Road						
Mailing Address									
City Freemont		State	CA	94538 <b>ZIP</b>	Cour	U.S.A.			
Name of Additional Joint Inventor, if any:						entor			
Given Nan	any])			Family Name	e or Surname				
David A.		Durden	Durden						
Inventor's Signature						Date			
Residence: City C	Calgary	State	Alberta	Country Canad	da	Canadian			
Mailing Address 1	84 Cornwa	llis Drive, I	N,W.						
Mailing Address									
City	Calgary	State	Aiberta	T2K Zip <sup>1U2</sup>	Cour	Canada			
Name of Additional Joi	int Invento	r, if any:	_ /	A petition has been f	iled for	this unsigned inve	entor		
Given Nam	ne (first and	middle [if	any])			Family Name	or Surname		
Alan A.				Boulton					
Inventor's Signature						Date			
Residence: City	Saskatoon	State	Saskatchewan	Country Cana	ada	Citizenship	Canadian		
	905 Spadin	a Crescen	it East						
Mailing Address									
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## **DECLARATION**

## ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 2

	Name of Additional Joint Inventor,	if any:		Ар	A petition has been filed for this unsigned inventor				
	Given Name (first and n	niddle [if	any])	$\perp$			Family Name or Surname		
-01	I. Alick			<u>_</u>	Paterson (decease	·d)			
1-1	Inventor's Signature  Brenda Kennedy  Senier Trust Office Residence: 717 idylwyid Cr  Scattagan Sast Canada, S717	Katchei	มินก <u>5</u> 65	5 Ro	Rocers Comasellor cossing Road con Saskatu da STN 20	iewc.			
	Citizenship. Canadiar		Saskatchewan	Car	nadian -		Date 9 OctoBER 2001		
	Residence: City Saskatoon (deceased)	State	(deceased)	Cor	(decease	d)	Canadian (deceased) Citizenship		
	Mailing Address 820-12 Street	East (de	ceased)						
	Mailing Address The Canada Trust Company: 170 2 <sup>nd</sup> Ave. S., Saskatoon, Saskatchewan S7K 1K5 Canada (Legal Representative)								
	City Saskatoon (deceased)	State	Saskatchewan (deceased)	ZIP	S7N 0H7 (deceased)	Cou	Canada (deceased)		
	Name of Additional Joint Inventor,	if any:					his unsigned inventor		
	Given Name (first and n	niddle [if a	any])	7			Family Name or Surname		
	Inventor's Signature						Date		
1	Residence: City	State		Col	untry		Citizenship		
	Mailing Address								
	Mailing Address								
	City	State		Zip		Cou	intry		
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## **STATEMENT**

1. DAVID GERECKE 600-123 2 ND AVE S.	whose	full	post ≤≮	office	address フ <u>ド フ<i>モ</i></u> ら	is ,
Notary Public in and for the Pr	ovince of S	askatch	newan d	eclare an	d say:	
That the signatures on the at 09/646,110 are the signature Canada Trust Company, Exe Rogers, Estate Counsellor f Estate of I. Alick Paterson whave the authority to sign of authorized representative), I acknowledged to me that by the or the entity upon behalf of whom	s of Brenda cutor of the or the Can ho is perso on behalf o Executor o heir signatu	a Kenne e Estate nada Tr onally k of the C f the E res in t	edy, Se e of I. A rust Con inown to Canada Estate o he said	nior Trust Alick Pate mpany, E o me and Trust Co of I. Alick instrumer	t Officer for rson and Ketxecutor of both of whompany (as Paterson and the person to t	the evin the som an and ons,
Signed at SASKATOON,	SK th	nis <u>9</u>	т⊮ da	y of <u><i>Oc</i></u>	1086e, 200	<b>01</b> .
Witness my hand and official seal						
Signature of Notary Public (Siso place Motary Seal)						
	NOTARY PUBLIC		·			

### **STATEMENT**

I, Brenda Kennedy, whose full post office address is 717 Idylwyld Crescent, Saskatoon, Saskatchewan, S7M 0B1, Notary Public in and for the Province of Saskatchewan declare and say:

That the signature on the attached declaration for U.S. Patent Application No. 09/646,110 is the signature of Kevin Rogers, Estate Counsellor for the Canada Trust Company, Executor of the Estate of I. Alick Paterson who is personally known to me and who has the authority to sign on behalf of the Canada Trust Company (as an authorized representative), Executor of the Estate of I. Alick Paterson and acknowledged to me that by his signature in the said instruments the person, or the entity upon behalf of which the person acted, executed the instruments.

Signed at SASKATOON, SK  Witness my hand and official seal	this 9TH day of OCTOBER, 2001.  BRENDA KENNEDY
Signature of Notato Public (also place Notat	Notary Seal)

## **STATEMENT**

I, Kevin Rogers, whose full post office address is 325 Rossmo Road, Saskatoon, Saskatchewan, S7N 2W9, Notary Public in and for the Province of Saskatchewan declare and say:

That the signature on the attached declaration for U.S. Patent Application No. 09/646,110 is the signature of Brenda Kennedy, Senior Trust Officer for the Canada Trust Company, Executor of the Estate of I. Alick Paterson who is personally known to me and who has the authority to sign on behalf of the Canada Trust Company (as an authorized representative), Executor of the Estate of I. Alick Paterson and acknowledged to me that by her signature in the said instruments the person, or the entity upon behalf of which the person acted, executed the instruments.

Signed at SASKATOON SK this 9th day of DCTOBER, 2001.

Witness my hand and official seal Signature of Notary Public also place Notary Seal)